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PATENT & TRADEMARK OFFICE

3763

PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: PHILLIP D. PURDY

Confirmation No.: 4825

Serial No.: 09/905,670

Examiner: Unassigned

Filed: JULY 13, 2001

Group Art Unit: 3763

For: METHODS AND APPARATUSES FOR NAVIGATING THE
SUBARACHNOID SPACE

Docket No.: 1001.1599102

TRANSMITTAL SHEET

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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TECHNOLOGY CENTER R3700

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 22nd day of APRIL, 2004.

By


J. Scot Wickhem

Dear Sir:

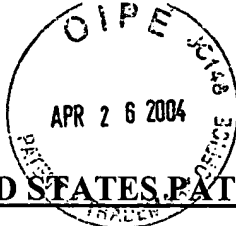
We are transmitting herewith the attached:

- ☒ Information Disclosure Statement under 37 C.F.R. §1.97(b)
- ☒ Form PTO-1449 and Seven (7) cited reference are enclosed
- ☒ Postcard receipt
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413

By: 

J. Scot Wickhem, Reg. No. 41,376

CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, Minnesota 55403-2420
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: PHILLIP D. PURDY
Serial No.: 09/905,670
Filing Date: JULY 13, 2001
Title: METHODS AND APPARATUSES FOR NAVIGATING THE SUBARACHNOID SPACE
Docket No.: 1001.1599102

Confirmation No.: 4825
Examiner: Unassigned
Group Art Unit: 3763
Customer No.: 28075

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 22nd day of APRIL, 2004.

By _____

J. Scot Wickhem

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Dear Sir:

TECHNOLOGY CENTER 155700

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the items of information listed on the attached PTO-1449 is brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each foreign patent documents, non-published patent application, and non-patent literature listed on the enclosed Form PTO-1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

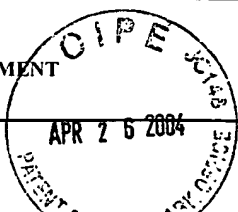
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Date: April 22, 2004

J. Scot Wickhem, Reg. No. 41,376

CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, Minnesota 55403-2420
Telephone: (612) 677-9050
Facsimile: (612) 359-9349

FORM PTO-1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)		Atty. Docket No.: 1001.1599102	Serial No.: 09/905,670
		Applicant: PHILLIP D. PURDY	
		Confirmation No.: 4825	
		Filing Date: JULY 13, 2001	Group Art: 3763

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	FILING DATE IF APPROPRIATE
	US 2002/0091356	07/11/2002	Barbut et al.		
	4,950,232	08/21/1990	Ruzicka et al.		
	5,980,480	11/09/1999	Rubenstein et al.		

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	TRANSLATION	
					YES	NO
	DE 37 26 453	02/16/1989	DE			
	WO 98/38953	09/11/1998	WIPO			
	WO 98/57603	12/23/1998	WIPO			
	WO 01/54766	08/02/2001	WIPO			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	